



# Discrete Mathematics

**CS204: Spring, 2008**

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## *Today's Topics*

*Equivalence Relations*

*Matrices of Relations*



# Relations

# Equivalence Relations

- Theorem

- Let  $S$  be a partition of a set  $X$ . Define  $x R y$  to mean that for some set  $S$  in  $S$ , both  $x$  and  $y$  belong to  $S$ . Then  $R$  is reflexive, symmetric, and transitive.
- Proof.
  - Let  $x \in X$ . By the definition of partition,  $x$  belongs to some member  $S$  of  $S$ . Thus  $x R x$  and  $R$  is reflexive.
  - Suppose that  $x R y$ . Then both  $x$  and  $y$  belong to some set  $S \in S$ . Since both  $y$  and  $x$  belong to  $S$ ,  $y R x$  and  $R$  is symmetric.
  - Finally, suppose that  $x R y$  and  $y R z$ . Then both  $x$  and  $y$  belong to some set  $S \in S$  and both  $y$  and  $z$  belong to some set  $T \in S$ . Since  $y$  belongs to exactly one member of  $S$ , we must have  $S = T$ . Therefore, both  $x$  and  $z$  belong to  $S$  and  $x R z$ . We have shown that  $R$  is transitive.

# Equivalence Relations

- Example
  - Consider the partition  $S = \{\{1,3,5\}, \{2,6\}, \{4\}\}$  of  $X = \{1,2,3,4,5,6\}$ .
  - What is the relation  $R$  on  $X$  as given by the preceding theorem?



# Equivalence Relations

- Definition
  - A relation that is reflexive, symmetric, and transitive on a set  $X$  is called an **equivalence relation** on  $X$ .
- Are any of the following relations equivalence relations?
  - the relation  $R = \{(1,1), (1,3), (1,5), (2,2), (2,4), (3,1), (3,3), (3,5), (4,2), (4,4), (5,1), (5,3), (5,5)\}$
  - the relation  $R$  on  $X = \{1,2,3,4\}$  defined by  $(x,y) \in R$  if  $x \leq y, x, y \in X$
  - the relation  $R = \{(a,a), (b,c), (c,b), (d,d)\}$  on  $X = \{a,b,c,d\}$

# Equivalence Relations

- Theorem
  - Let  $R$  be an equivalence relation on a set  $X$ . For each  $a \in X$ , let  $[a] = \{x \in X \mid x R a\}$ . (In words,  $[a]$  is the set of all elements in  $X$  that are related to  $a$ .) Then  $S = \{[a] \mid a \in X\}$  is a partition of  $X$ .
  - Proof.
    - We must show that every element in  $X$  belongs to exactly one member of  $S$ . Let  $a \in X$ . Since  $a R a$ ,  $a \in [a]$ . Thus every element in  $X$  belongs to at least one member of  $S$ .
    - It remains to show that every element in  $X$  belongs to exactly one member of  $S$ ; that is,  
if  $x \in X$  and  $x \in [a] \cap [b]$ , then  $[a] = [b]$ .

# Equivalence Relations

## – Proof (continued).

- We first show that for all  $c, d \in X$ , if  $c R d$ , then  $[c] = [d]$ . Suppose that  $c R d$ . Let  $x \in [c]$ . Then  $x R c$ . Since  $c R d$  and  $R$  is transitive,  $x R d$ . Therefore,  $x \in [d]$  and  $[c] \subseteq [d]$ . The argument that  $[d] \subseteq [c]$  is the same as that just given, but with the roles of  $c$  and  $d$  interchanged. Thus  $[c] = [d]$ .
- We now prove the following claim.

if  $x \in X$  and  $x \in [a] \cap [b]$ , then  $[a] = [b]$ .

Assume that  $x \in X$  and  $x \in [a] \cap [b]$ . Then  $x R a$  and  $x R b$ . Our preceding result shows that  $[x] = [a]$  and  $[x] = [b]$ . Thus  $[a] = [b]$ .



# Equivalence Relations

- Definition
  - Let  $R$  be an equivalence relation on a set  $X$ . The sets  $[a]$  as defined in the preceding theorem are called the **equivalence classes** of  $X$  given by the relation  $R$ .
- Examples
  - Given the equivalence relation  $R = \{(1,1), (1,3), (1,5), (3,1), (3,3), (3,5), (5,1), (5,3), (5,5), (2,2), (2,6), (6,2), (6,6), (4,4)\}$  on  $X = \{1,2,3,4,5,6\}$ , determine the equivalence classes  $[1]$ ,  $[2]$ ,  $[3]$ , and  $[4]$ .
  - What are the equivalence classes for the equivalence relation  $R = \{(1,1), (1,3), (1,5), (2,2), (2,4), (3,1), (3,3), (3,5), (4,2), (4,4), (5,1), (5,3), (5,5)\}$ ?



# Equivalence Relations

- Theorem
  - Let  $R$  be an equivalence relation on a finite set  $X$ . If each equivalence class has  $r$  elements, there are  $|X|/r$  equivalence classes.
  - Proof.
    - Let  $X_1, X_2, \dots, X_k$  denote the distinct equivalence classes.
    - Since these sets partition  $X$ ,  $|X| = |X_1| + |X_2| + \dots + |X_k| = r + r + \dots + r = kr$  and the conclusion follows.

# Matrices of Relations

- Example
  - The matrix of the relation  $R = \{(1,b), (1,d), (2,c), (3,c), (3,b), (4,a)\}$  from  $X = \{1,2,3,4\}$  to  $Y = \{a,b,c,d\}$  relative to the orderings 1, 2, 3, 4 and  $a, b, c, d$  is

	$a$	$b$	$c$	$d$
1	0	1	0	1
2	0	0	1	0
3	0	1	1	0
4	1	0	0	0

# Matrices of Relations

- Example

- The matrix of the relation  $R = \{(1,b), (1,d), (2,c), (3,c), (3,b), (4,a)\}$  from  $X = \{1,2,3,4\}$  to  $Y = \{a,b,c,d\}$  relative to the orderings 2, 3, 4, 1 and  $d, b, a, c$  is

	$d$	$b$	$a$	$c$
2	0	0	0	1
3	0	1	0	1
4	0	0	1	0
1	1	1	0	0



# Matrices of Relations

- Example
  - The matrix of the relation  $R$  from  $\{2,3,4\}$  to  $\{5,6,7,8\}$ , relative to the orderings 2, 3, 4 and 5, 6, 7, 8, defined by

$x R y$  if  $x$  divides  $y$

is

	5	6	7	8
2	0	1	0	1
3	0	1	0	0
4	0	0	0	1



# Matrices of Relations

- Example
  - The matrix of the relation  $R = \{(a,a), (b,b), (c,c), (d,d), (b,c), (c,b)\}$  on  $\{a,b,c,d\}$ , relative to the ordering  $a, b, c, d$ , is

	$a$	$b$	$c$	$d$
$a$	1	0	0	0
$b$	0	1	1	0
$c$	0	1	1	0
$d$	0	0	0	1

# Matrices of Relations

- How to determine whether a relation  $R$  on a set  $X$  is
  - reflexive?
    - if and only if  $A$  has 1's on the main diagonal
    - if and only if  $(x,x) \in R$  for all  $x \in X$
  - symmetric?
    - if and only if  $A$  is symmetric about the main diagonal
    - if and only if for all  $i$  and  $j$ , the  $ij$ th entry of  $A$  is equal to the  $ji$ th entry of  $A$
  - antisymmetric?
    - whenever the  $ij$ th entry is 1,  $i \neq j$ , the  $ji$ th entry is not 1

# Matrices of Relations

- Example

- Let  $R_1$  be the relation from  $X = \{1, 2, 3\}$  to  $Y = \{a, b\}$  defined by  $R_1 = \{(1, a), (2, b), (3, a), (3, b)\}$ , and let  $R_2$  be the relation from  $Y$  to  $Z = \{x, y, z\}$  defined by  $R_2 = \{(a, x), (a, y), (b, y), (b, z)\}$ .
- The matrix of  $R_1$  relative to the orderings 1, 2, 3 and  $a, b$  is

$$A_1 = \begin{matrix} & & a & b \\ \begin{matrix} 1 \\ 2 \\ 3 \end{matrix} & \begin{matrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 1 \end{matrix} \end{matrix}$$



# Matrices of Relations

- and the matrix of  $R_2$  relative to the orderings  $a, b$  and  $x, y, z$  is

$$A_2 = \begin{array}{ccccc} & & x & y & z \\ \begin{array}{c} a \\ b \end{array} & = & \begin{array}{ccc} 1 & 1 & 0 \\ 0 & 1 & 1 \end{array} \end{array}$$

- The product of these matrices is

$$A_1 A_2 = \begin{array}{ccccc} & & x & y & z \\ \begin{array}{c} 1 \\ 2 \\ 3 \end{array} & = & \begin{array}{ccc} 1 & 1 & 0 \\ 2 & 0 & 1 \\ 3 & 1 & 2 \end{array} \end{array}$$



# Summary

- Equivalence Relations
- Matrices of Relations