

# Discrete Mathematics

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## Today's Topics

Introduction

Paths and Cycles

Hamiltonian Cycles and the Traveling  
Salesperson Problem

A Shortest-Path Algorithm

Representations of Graphs

Isomorphisms of Graphs

Planar Graphs

# GRAPH THEORY

# Planar Graphs

- Definition
  - A graph is **planar** if it can be drawn in the plane without its edges crossing.
  - If a connected, planar graph is drawn in the plane, the plane is divided into contiguous regions called **faces**. A face is characterized by the cycle that forms its boundary.
  - The equation below holds for any connected, planar graph.
    - $f = e - v + 2$ , where  $f$  is the # of faces,  $e$  the # of edges, and  $v$  the # of vertices

# Planar Graphs

- Examples
  - the graph of the Figure 8.7.2
  - Show that the graph  $K_{3,3}$  of Figure 8.7.1 is not planar.
  - Show that the graph  $K_5$  is not planar.
- Note
  - If a graph contains  $K_{3,3}$  (or  $K_5$ ) as a subgraph, it cannot be planar.

# Planar Graphs

- Definition
  - If a graph  $G$  has a vertex  $v$  of degree 2 and edges  $(v, v_1)$  and  $(v, v_2)$  with  $v_1 \neq v_2$ , we say that the edges  $(v, v_1)$  and  $(v, v_2)$  are in **series**.
  - A **series reduction** consists of deleting the vertex  $v$  from the graph  $G$  and replacing the edges  $(v, v_1)$  and  $(v, v_2)$  by the edge  $(v_1, v_2)$ .
  - The resulting graph  $G'$  is said to be **obtained from  $G$  by a series reduction**. By convention,  $G$  is said to be obtainable from itself by a series reduction.
- Example
  - Obtain a graph by a series reduction from the graph  $G$  of Figure 8.7.4.

# Planar Graphs

- Definition
  - Graphs  $G_1$  and  $G_2$  are **homeomorphic** if  $G_1$  and  $G_2$  can be reduced to isomorphic graphs by performing a sequence of series reduction.
- Example
  - Determine if the graphs  $G_1$  and  $G_2$  of Figure 8.7.5 are homeomorphic.

# Planar Graphs

- Theorem [Kuratowski's Theorem]
  - A graph  $G$  is planar if and only if  $G$  does not contain a subgraph homeomorphic to  $K_5$  or  $K_{3,3}$ .
- Example
  - Show that the graph  $G$  of Figure 8.7.6 is not planar by using Kuratowski's Theorem.

# Planar Graphs

- Theorem [Euler's Formula for Graphs]
  - If  $G$  is a connected, planar graph with  $e$  edges,  $v$  vertices, and  $f$  faces, then  $f = e - v + 2$ .
  - Proof.
    - Use induction on the number of edges.



## Today's Topics

Introduction

Terminology and Characterization of Trees

Spanning Trees

Minimal Spanning Trees

Binary Trees

Tree Traversals

Decision Trees and the Minimum Time for Sorting

Isomorphisms of Trees

# TREES

# Introduction

- Definition
  - A (free) **tree**  $T$  is a simple graph satisfying the following:
    - If  $v$  and  $w$  are vertices in  $T$ , there is a unique simple path from  $v$  to  $w$ .
  - A **rooted tree** is a tree in which a particular vertex is designated the root.
- Example
  - the single-elimination tournament

# Introduction

- Note
  - the level of a vertex  $v$  is the **length** of the simple path from the root to  $v$ .
  - The **height** of a rooted tree is the maximum level number that occurs.

# Introduction

- Examples
  - the rooted tree of Figure 9.1.4
  - the trees of Figure 9.1.5
  - an administrative organizational chart
  - Computer File Systems
  - Hierarchical Definition Trees

# Introduction

- Example
  - Huffman Codes
    - represent characters by variable-length bit strings
    - Use Figure 9.1.10 to decode the string 01010111.

# Introduction

- Example
  - Construct an optimal Huffman code using Table 9.1.2.

## Algorithm 9.1.9: Constructing an Optimal Huffman Code

Input: A sequence of  $n$  frequencies,  $n \geq 2$

Output: A rooted tree that defines an optimal Huffman code

```
huffman( $f, n$ ) {  
  if ( $n == 2$ ) {  
    let  $f_1$  and  $f_2$  denote the frequencies  
    let  $T$  be as in Figure 9.1.11  
    return  $T$   
  }  
  let  $f_i$  and  $f_j$  denote the smallest frequencies  
  replace  $f_i$  and  $f_j$  in the list  $f$  by  $f_i + f_j$   
   $T' = \textit{huffman}(f, n - 1)$   
  replace a vertex in  $T'$  labeled  $f_i + f_j$  by the tree shown  
  in Figure 9.1.12 to obtain the tree  $T$   
  return  $T$   
}
```



Figure 9.1.11



Figure 9.1.12

# Terminology and Characterization of Trees

- Definition
  - Let  $T$  be a tree with root  $v_0$ .
  - Suppose that  $x, y,$  and  $z$  are vertices in  $T$  and that  $(v_0, v_1, \dots, v_n)$  is a simple path in  $T$ .
  - Then
    - (a)  $v_{n-1}$  is the **parent** of  $v_n$ .
    - (b)  $v_0, \dots, v_{n-1}$  are **ancestors** of  $v_n$ .
    - (c)  $v_n$  is a **child** of  $v_{n-1}$ .
    - (d) If  $x$  is an ancestor of  $y,$   $y$  is a descendant of  $x$ .
    - (e) If  $x$  and  $y$  are children of  $z,$   $x$  and  $y$  are **siblings**.

# Terminology and Characterization of Trees

- (f) If  $x$  has no children,  $x$  is a **terminal vertex** (or a **leaf**).
- (g) If  $x$  is not a terminal vertex,  $x$  is an **internal** (or **branch**) **vertex**.
- (h) The subtree of  $T$  rooted at  $x$  is the graph with vertex set  $V$  and edge set  $E$ , where  $V$  is  $x$  together with the descendants of  $x$  and  
 $E = \{e \mid e \text{ is an edge on a simple path from } x \text{ to some vertex in } V\}$ .

- **Note**

- A graph with no cycles is called an **acyclic graph**.



# Terminology and Characterization of Trees

- Theorem
  - Let  $T$  be a graph with  $n$  vertices. The following are equivalent.
    - (a)  $T$  is a tree.
    - (b)  $T$  is connected and acyclic.
    - (c)  $T$  is connected and has  $n - 1$  edges.
    - (d)  $T$  is acyclic and has  $n - 1$  edges.
  - Proof.
    - Exercise.

# Spanning Trees

- Definition
  - A tree  $T$  is a **spanning tree** of a graph  $G$  if  $T$  is a subgraph of  $G$  that contains all of the vertices of  $G$ .
- Examples
  - Find a spanning tree of the graph  $G$  of Figure 9.3.1.
  - Find an alternative spanning tree of the graph  $G$  of Figure 9.3.1.

# Spanning Trees

- Theorem
  - A graph  $G$  has a spanning tree if and only if  $G$  is connected.
  - Proof sketch.
    - $\rightarrow$ : Use the notion of a path.
    - $\leftarrow$ : Use the notion of cyclicity

# Spanning Trees

## Algorithm 9.3.6: Breadth-First Search for a Spanning Tree

Input: A connected graph  $G$  with vertices ordered  
 $v_1, v_2, \dots, v_n$

Output: A spanning tree  $T$

```
bfs( $V, E$ ) {  
    //  $V$  = vertices ordered  $v_1, \dots, v_n$ ;  $E$  = edges  
    //  $V'$  = vertices of spanning tree  $T$ ;  
    //  $E'$  = edges of spanning tree  $T$   
    //  $v_1$  is the root of the spanning tree  
    //  $S$  is an ordered list  
     $S = (v_1)$   
     $V' = \{v_1\}$   
     $E' = \emptyset$   
    while (true) {  
        for each  $x \in S$ , in order,  
            for each  $y \in V - V'$ , in order,  
                if  $((x, y)$  is an edge)  
                    add edge  $(x, y)$  to  $E'$  and  $y$  to  $V'$   
    if (no edges were added)  
        return  $T$   
     $S =$  children of  $S$  ordered consistently with the  
        original vertex ordering  
    }  
}
```

# Spanning Trees

## Algorithm 9.3.7: Depth-First Search for a Spanning Tree

Input: A connected graph  $G$  with vertices ordered  
 $v_1, v_2, \dots, v_n$

Output: A spanning tree  $T$

```
dfs(V, E) {  
    //  $V'$  = vertices of spanning tree  $T$ ;  
    //  $E'$  = edges of spanning tree  $T$   
    //  $v_1$  is the root of the spanning tree  
     $V' = \{v_1\}$   
     $E' = \emptyset$   
     $w = v_1$   
    while (true) {  
        while (there is an edge  $(w, v)$  that when added to  $T$   
            does not create a cycle in  $T$ ) {  
            choose the edge  $(w, v_k)$  with minimum  $k$  that when  
                added to  $T$  does not create a cycle in  $T$   
            add  $(w, v_k)$  to  $E'$   
            add  $v_k$  to  $V'$   
             $w = v_k$   
        }  
        if ( $w == v_1$ )  
            return  $T$   
         $w = \text{parent of } w \text{ in } T$  // backtrack  
    }  
}
```

# Spanning Trees

## Algorithm 9.3.10: Solving the Four-Queens Problem Using Backtracking

Input: An array *row* of size 4

Output: true, if there is a solution

false, if there is no solution

[If there is a solution, the *k*th queen is in column *k*, row *row(k)*.]

```
four_queens(row) {  
    k = 1 // start in column 1  
  
    // start in row 1  
    // since row(k) is incremented prior to use,  
    // set row(1) to 0  
    row(1) = 0  
    while (k > 0) {  
        row(k) = row(k) + 1  
        // look for a legal move in column k  
        while (row(k) ≤ 4 ∧ column k, row(k) conflicts)  
            // try next row  
            row(k) = row(k) + 1
```

## Algorithm 9.3.10 (continued)

```
        if (row(k) ≤ 4)  
            if (k == 4)  
                return true  
            else { // next column  
                k = k + 1  
                row(k) = 0  
            }  
        else // backtrack to previous column  
            k = k - 1  
    }  
    return false // no solution  
}
```

# Minimal Spanning Trees

- Definition
  - Let  $G$  be a weighted graph. A **minimal spanning tree** of  $G$  is a spanning tree of  $G$  with minimum weight.
- Example
  - Find two spanning trees for graph  $G$  of Figure 9.4.1 and compare their weights.

# Minimal Spanning Trees

## Algorithm 9.4.3: Prim's Algorithm

Input: A connected, weighted graph  $G$  with vertices  $1, \dots, n$  and start vertex  $s$ . If  $(i, j)$  is an edge,  $w(i, j)$  is equal to the weight of  $(i, j)$ ; if  $(i, j)$  is not an edge,  $w(i, j)$  is equal to  $\infty$  (a value greater than any actual weight).

Output: The set of edges  $E$  is a minimal spanning tree (mst)

```
prim( $w, n, s$ ) {  
    //  $v(i) = 1$  if vertex  $i$  has been added to mst  
    //  $v(i) = 0$  if vertex  $i$  has not been added to mst  
1.   for  $i = 1$  to  $n$   
2.        $v(i) = 0$   
    // add start vertex to mst  
3.    $v(s) = 1$   
    // begin with an empty edge set  
4.    $E = \emptyset$ 
```

## Algorithm 9.4.3 (continued)

```
    // put  $n - 1$  edges in the minimal spanning tree  
5.   for  $i = 1$  to  $n - 1$  {  
        // add edge of minimum weight with one  
        // vertex in mst and one vertex not in mst  
6.        $min = \infty$   
7.       for  $j = 1$  to  $n$   
8.           if ( $v(j) == 1$ ) // if  $j$  is a vertex in mst  
9.               for  $k = 1$  to  $n$   
10.                  if ( $v(k) == 0 \wedge w(j, k) < min$ ) {  
11.                       $add\_vertex = k$   
12.                       $e = (j, k)$   
13.                       $min = w(j, k)$   
14.                  }  
        // put vertex and edge in mst  
15.     $v(add\_vertex) = 1$   
16.     $E = E \cup \{e\}$   
17.    }  
18.    return  $E$   
19. }
```



# Minimal Spanning Trees

- Theorem
  - Prim's Algorithm is correct; that is, at the termination of Algorithm 9.4.3,  $T$  is a minimal spanning tree.
- Kruskal's algorithm
  - Sort edges.

# Summary

- Terminology and Characterization of Trees
- Spanning Trees
- Minimal Spanning Trees
- Binary Trees
- Tree Traversals
- Decision Trees and the Minimum Time for Sorting
- Isomorphisms of Trees